

IFW



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	10/675,165
		Filing Date	September 30, 2003
		First Named Inventor	Ernie F. Brickell
		Art Unit	2131
		Examiner Name	Unassigned
Total Number of Pages in This Submission	6	Attorney Docket Number	42P16807

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s): (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<div style="border: 1px solid black; padding: 5px;">Cited References</div>
<input checked="" type="checkbox"/> PTO/SB/08	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	June 30, 2004

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Susan McFarlane		
Signature		Date	June 30, 2004

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 06/04/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



~~FEET TRANSMITTAL~~
for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT **(\\$)**

Digitized by srujanika@gmail.com

METHOD OF PAYMENT (*check all that apply*)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account				

Deposit
Account
Number **02-2666**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) or underpayment of fees as required under 3 CFR §§ 1.16, 1.17, 1.18 and 1.20.

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		<u>Fee Description</u>	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

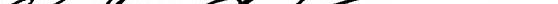
2. EXTRA CLAIM FEES

Total Claims		Extra Claims	Fee from below	Fee Paid
Independent Claims	-	20^{**}	= <input type="text"/>	\times <input type="text"/> = <input type="text"/>
	-	3	= <input type="text"/>	\times <input type="text"/> = <input type="text"/>
Multiple Dependent				<input type="text"/>

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple Dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater. For Reissues, see below.*

SUBMITTED BY

Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature				Date	06/30/04

Based on PTO/SB/17 (10-06) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 02/10/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Docket No.: 42P16807

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ERNIE F. BRICKELL

Application No.: 10/675,165

Filed: September 30, 2003

For: **A Platform And Method For Establishing
Trust
Without Revealing Identity**

Art Group: 2131

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

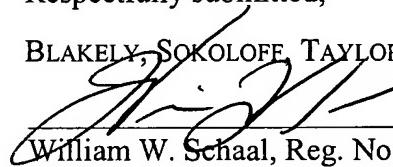
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: June 30, 2004



William W. Schaal, Reg. No. 39,018

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

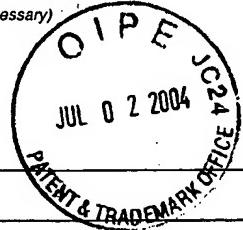


Susan McFarlane 06-30-04
Date

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10/675,165
Filing Date	September 30, 2003
First Named Inventor	Brickell, Ernest
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 2

Attorney Docket No: 42P16807

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-EP0892521	01/20/1999	Zamek, Steven			
	EP-EP1055989	11/29/2000	Proudler, Graeme J., et al.			
	EP-EP1056014	11/29/2000	Proudler, Graeme J., et al.			
	WO-WO0201794	01/03/2002	Ellison, Carl			
	WO-WO02060121	08/01/2002	Grawrock, David W.			
	WO-WO03058412	07/17/2003	Glew, Andrew , et al.			
	WO-WO9812620	03/26/1998	Nishiuchi, Taiki , et al.			
	WO-WO9918511	04/15/1999	Edrich, David R.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		COULOURIS, GEORGE , et al., "Distributed Systems, Concepts and Designs", <u>2nd Edition, (1994),422-424</u>	
		CRAWFORD, JOHN , "Architecture of the Intel 80386", <u>Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86), (October 6, 1986),155-160</u>	
		FABRY, R.S. , "Capability-Based Addressing", <u>Fabry, R.S., "Capability-Based Addressing," Communications of the ACM, Vol. 17, No. 7, (July 1974),403-412</u>	
		FRIEDER, GIDEON , "The Architecture And Operational Characteristics of the VMX Host Machine", <u>The Architecture And Operational Characteristics of the VMX Host Machine, IEEE, (1982),9-16</u>	
		HP MOBILE SECURITY OVERVIEW, "HP Mobile Security Overview", (Sept. 2002),1-10	
		IBM CORPORATION, "IBM ThinkPad T30 Notebooks", <u>IBM Product Specification, located at www-1.ibm.com/services/files/cisco t30 spec sheet 070202.pdf, last visited June 23, 2004,(July 2, 2002),1-6</u>	
		INTEL CORPORATION, "IA-64 System Abstraction Layer Specification", <u>Intel Product Specification, Order Number 245359-001, (01/2000),1-112</u>	
		INTEL CORPORATION, "Intel 82802AB/82802AC Firmware Hub (FWH)", <u>Intel Product Datasheet, Document Number 290658-004,(November 2000),1-6, 17-28</u>	
		INTEL CORPORATION, "Intel IA-64 Architecture Software Developer's Manual", <u>Volume 2: IA-64 System Architecture, Order Number 245318-001, (01/2000),i, ii, 5.1-5.3, 11.1-11.8, 11.23-11.26</u>	
		MENEZES, ALFRED J., et al., "Handbook of Applied Cryptography", <u>CRC Press Series on Discrete Mathematics and its Applications, Boca Raton, FL, XP002165287, ISBN 0849385237,(Oct. 1996),403-405, 506-515, 570</u>	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional) ²Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		<i>Complete if Known</i>	
		Application Number	10/675,165
		Filing Date	September 30, 2003
		First Named Inventor	Brickell, Ernest
		Group Art Unit	Unknown
		Examiner Name	Unknown
Sheet 2 of 2		Attorney Docket No: 42P16807	



OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		NANBA, S. , et al., "VM/4: ACOS-4 Virtual Machine Architecture", <u>VM/4: ACOS-4 Virtual Machine Architecture</u> , IEEE, (1985),171-178
		RSA SECURITY, "Hardware Authenticators", www.rsasecurity.com/node.asp?id=1158 , 1-2
		RSA SECURITY, "RSA SecurID Authenticators", www.rsasecurity.com/products/securid/datasheets/SID_DS_0103.pdf , 1-2
		RSA SECURITY, "Software Authenticators", www.srasecurity.com/node.asp?id=1313 , 1-2
		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithm, and Source Code in C", <u>Wiley, John & Sons, Inc.</u> , XP002939871; ISBN 0471117099,(Oct. 1995),47-52
		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithm, and Source Code in C", <u>Wiley, John & Sons, Inc.</u> , XP002138607; ISBN 0471117099,(Oct. 1995),56-65
		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithms, and Source Code C", <u>Wiley, John & Sons, Inc.</u> , XP0021111449; ISBN 0471117099,(Oct. 1995),169-187
		SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithms, and Source Code in C", <u>2nd Edition</u> ; <u>Wiley, John & Sons, Inc.</u> , XP002251738; ISBN 0471128457,(Nov. 1995),28-33; 176-177; 216-217; 461-473; 518-522

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached